



First Nations
FINANCIAL
MANAGEMENT
BOARD

CONSEIL
DE GESTION
FINANCIÈRE des
Premières Nations

FORMAL REVIEW REQUEST INSTRUCTIONS FAL Update

1. Please ensure you sign 3 FAL documents total as we require 3 original signed FAL documents. One will be retained by the FMB, one will be provided to the Nation, and one will be provided to First Nations Gazette.
2. Email your Relationship Manager, Jeremy Bonhomme (Jeremy_Bonhomme@fnfmb.com) and Marissa Payne (Marissa_Payne@fnfmb.com) the following:
 - PDF of the signed FAL
 - True Word FAL document (the one that was printed to sign)
 - Signed BCR
 - Formal Review Request Form (filled out and signed)
3. Send, by registered mail or courier, **three (3) original signed FALs** to:

IELR Paralegal
First Nations Financial Management Board
300 – 100 Park Royal S.
West Vancouver, BC V7T 1A2

Please contact your Relationship Manager or the Paralegals, Marissa Payne (Marissa_Payne@fnfmb.com / (604) 347-5478) and Nicole Du Bois (Nicole_DuBois@fnfmb.com / 343-576-9284) if you have any questions.



Penticton Indian Band, British Columbia
(“the First Nation”)

Formal Review Request Form (FRRF)

I, as directed and authorized by:

BCR (Band Council Resolution) Reference number:	Insert reference number, or put N/A if none
BCR (Band Council Resolution) Date:	Insert date of BCR that Council members signed

[Please check the appropriate boxes of paragraphs that are applicable to the First Nation’s request. Please consult with your FMB Relationship Manager]:

FAL Compliance Approval

- Request that the First Nations Financial Management Board (“the FMB”) conduct a formal review of the Financial Administration Law (“FAL”) of the First Nation and seek a **compliance approval** under section 9 of the *First Nations Fiscal Management Act*;

NFR 10-Year Grant Compliance Opinion

- Request that the FMB conduct a review of the FAL of the First Nation and, if in compliance in all material respects, issue a report containing a **compliance opinion** that the FAL meets the relevant eligibility criteria of the Indigenous Services Canada program authority “*Grant to Support the New Fiscal Relationship for First Nations Under the Indian Act*” respecting **financial administration laws**;
- Request that the FMB conduct a review of the financial performance of the First Nation and, if in compliance in all material respects, issue a report containing a **compliance opinion** stating whether the First Nation meets the relevant eligibility criteria of the Indigenous Services Canada program authority “*Grant to support the new fiscal relationship for First Nations under the Indian Act*” respecting **financial performance**;
- Confirm that any events subsequent to the date of the last audited annual financial statements of the First Nation, that would materially impact any information provided by or on behalf of the First Nation for the purposes of the review of the First Nation’s **financial performance**, have been disclosed to the FMB;
- Verify and confirm that any **financial performance** normalization adjustment, as described in Standard 8.0 of the FMB’s *Financial Performance Standards*, that the FMB has proposed for consideration by the First Nation is based upon information provided by or on behalf of the First Nation that is correct, not misleading and does not omit any material information;



FP Certificate

- Request that the FMB conduct a formal review of the financial performance of the First Nation to determine if the First Nation is, at the time of the review, in compliance with the FMB Financial Performance Standards and to issue a **Financial Performance Certificate** to the First Nation under section 50(3) of the *First Nations Fiscal Management Act*;
- Confirm that any events subsequent to the date of the last audited annual financial statements of the First Nation, that would materially impact any information provided by or on behalf of the First Nation for the purposes of the review of the First Nation's **financial performance**, have been disclosed to the FMB;
- Verify and confirm that any **financial performance** normalization adjustment, as described in Standard 8.0 of the FMB's *Financial Performance Standards*, that the FMB has proposed for consideration by the First Nation is based upon information provided by or on behalf of the First Nation that is correct, not misleading and does not omit any material information;

Further Requirements

- Confirm that the information and documents provided to the FMB are correct, not misleading in any respect, and do not omit any material facts as at the date the information or documents were provided; and
- Confirm that a signed pdf and a true Word document of the enacted FAL is attached;

Confirm below that, as of the date of signing this document, the First Nation:

- Is under default management with Indigenous Services Canada.
[or]
- Is Not under default management with Indigenous Services Canada.
 - Recipient manager
 - Recipient-Appoint Advisor
 - Third-Party Management level

Name	
Title	
Signature	
FRRF Signing Date	